

2176

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Rocky Stewart, et al.

Appl. No.: 09/785,862

Confirm. No.: 6339

Filed: February 16, 2001

Title: WORKFLOW INTEGRATION SYSTEM FOR  
ENTERPRISE WIDE ELECTRONIC  
COLLABORATION

PATENT APPLICATION

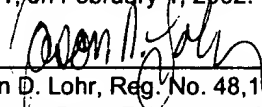
Art Unit: 2176

Examiner: Unassigned

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Commissioner for Patents, Art Unit 2176, Washington, D.C. 20231**, on February 4, 2002.

  
Jason D. Lohr, Reg. No. 48,163  
Signature Date: February 4, 2002

(Attorney Signature)

**TRANSMITTAL LETTER**

Commissioner for Patents  
Art Unit 2176  
Washington, D.C. 20231

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MAR 04 2002  
Technology Center 2100

Sir:


Transmitted with this communication in connection with the above-identified application are the following:

X Power of Attorney By Assignee Under 37 C.F.R. §§ 3.71, 3.73 (b)

X No fee is required with this communication.

Respectfully submitted,

Date: 2/4/02

By:   
Jason D. Lohr  
Reg. No. 48,163

FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Four Embarcadero Center, Suite 400  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



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**POWER OF ATTORNEY BY ASSIGNEE UNDER 37 C.F.R. §§3.71, 3.73(b)**

Commissioner for Patents  
Art Unit 2176  
Washington, DC 20231

**RECEIVED**

MAR 04 2002

Sir:

Technology Center 2100

The below-identified Assignee is the owner of the entire right, title and interest in the above-identified patent application by virtue of an assignment from the inventors.

☒ A true copy of the assignment is attached hereto, the original of which has been forwarded to the United States Patent and Trademark Office for recording.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Assignee hereby appoints Sheldon R. Meyer, Reg. No. 27,660, Jason D. Lohr, Reg. No. 48,163, and other attorneys of FLIESLER DUBB MEYER & LOVEJOY LLP, to prosecute this application and transact all business in the United States Patent & Trademark Office connected therewith; said appointment to be to the exclusion of the inventors and the inventors' attorneys in accordance with the provisions of 37 C.F.R. §3.71.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please address all correspondence to:  
Sheldon R. Meyer, Esq.  
FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, CA 94111-4156

Please direct all telephone calls to:  
Jason D. Lohr, Esq.  
(415) 362-3800

Assignee: BEA Systems, Inc.  
Assignee Type: Corporation  
Signor's Name: Robert F. Donohue, Esq.  
Signor's Title: Senior Vice President and General Counsel

Signature: [Signature] Date: 29 Jan '02

**JOINT TO CORPORATE ASSIGNMENT**

WHEREAS, the undersigned Inventors:

(1) Rocky Stewart,  
a resident of Danville, CA,

(2) Pal Takacsi-Nagy,  
a resident of Cupertino, CA,

(3) Timo Metsaportti,  
a resident of Espoo, Finland, and

(4) Adrian Price,  
a resident of Worthing, W. Sussex, U.K.,

have invented certain new and useful improvements in:

**WORKFLOW INTEGRATION SYSTEM FOR ENTERPRISE  
WIDE ELECTRONIC COLLABORATION**

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention.

WHEREAS BEA SYSTEMS, INC. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 2315 North First Street, San Jose, 95131, State of California, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgement before the Notary Public as given below and delivered this instrument to said Assignee:

1.        On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature;

Or

2.   X   Said application having SC/Serial Number 09/785,862 and filed on the February 16, 2001.

Date of Execution: 10 May 01

(1)

Rocky Stewart

State of

California

County of

Santa Clara

On 05/10/01

before me,

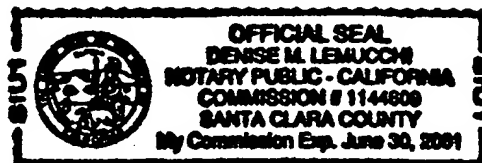
Denise M. Lemucchi; Notary Public  
(name and title of officer)

personally appeared Rocky Stewart, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature

Denise M. Lemucchi



\*\*\*\*\*

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgement before the Notary Public as given below and delivered this instrument to said Assignee:

1.        On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature;

Or

2.   X   Said application having SC/Serial Number 09/785,862 and filed on the February 16, 2001.

Date of Execution: 5/9/01

(2) Pal Takacsi-Nagy  
Pal Takacsi-Nagy

State of California

County of Santa Clara

On May 9, 2001 before me, Renee T. Frias, Notary  
(name and title of officer)

personally appeared Pal Takacsi-Nagy, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature Renee T. Frias



\*\*\*\*\*


IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgment before the Notary Public as given below and delivered this instrument to said Assignee:

1.        On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature;

Or

2. X Said application having SC/Serial Number 09/785,862 and filed on the February 16, 2001.

Date of Execution: 11/15/2001

(3)   
Timo Metsaportti

State of Finland

County of ESPOO

On \_\_\_\_\_ before me, Pirjo Halonen / Notary Public  
(name and title of officer)

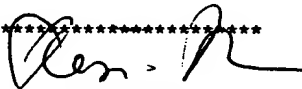
personally appeared \_\_\_\_\_, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

This is to certify the own hand signature/signatures  
of Timo Metsaportti  
Espoo 15th day of November 2001.

Signature \_\_\_\_\_  
Ex officio:  
Fee FIM: 301

\*\*\*\*\*



Pirjo Halonen  
Notary Public



IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgement before the Notary Public as given below and delivered this instrument to said Assignee:

1.     —     On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature;

Or

2.     X     Said application having SC/Serial Number 09/785,862 and filed on the February 16, 2001.

Date of Execution: 28<sup>th</sup> May, 2001

(4) *A. M. Price*  
Adrian Price

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_,  
(name and title of officer)

personally appeared \_\_\_\_\_, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

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